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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(MODIFIED) PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)
(37 C.F.R. § 1.98(b))

ATTY. DOCKET NO.
53087-5006

SERIAL NO.
09/841,731

JAN 29 2002

Technology Center 2100

APPLICANT
Gunter, et al.

FILING DATE
April 25, 2001

GROUP

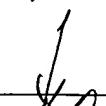
U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENT NUMBER								ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6	2	1	6	1	1	6					
	B	5	6	5	9	6	1	6	08/19/97	Sudia	380	23	
	C	5	9	0	3	8	8	2	05/11/99	Asay et al.	705	44	
	D	5	9	4	3	4	2	3	08/24/99	Muftic	380	25	
	E	5	9	7	8	4	8	4	11/02/99	Apperson et al.	380	25	
	F	5	9	6	0	0	8	5	09/28/99	De la Huerga	380	25	
	G	6	0	0	3	0	1	4	12/14/99	Lee et al.	705	13	
	H	5	9	0	1	2	8	4	05/04/99	Hamdy-Swink	395	186	
	I	5	8	7	2	8	4	8	02/16/99	Romney et al.	380	25	
	J	5	7	8	4	4	6	3	07/21/98	Chen et al.	380	21	
	K	5	7	6	1	3	0	9	06/02/98	Ohashi et al.	380	25	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

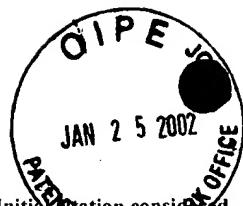
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	L	Blaze, et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.
	M	Gunter, et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000, Vol. 30, pp. 1609-1640.
	N	Gunter, et al., "GENERALIZED CERTIFICATE REVOCATION", ACM Symposium On Principles Of Programming Languages, January 2000, pp. 1-14.

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DATE CONSIDERED

8/11/04



#4

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	APPLICANT	
	FILING DATE	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENT NUMBER								ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	O	5	7	5	7	9	2	0	05/26/98	Misra et al.	380	25	
	P	5	7	5	4	6	5	4	05/19/98	Hiroya et al.	380	24	
	Q	5	6	9	4	4	7	1	12/02/97	Chen et al.	380	25	
	R	5	4	1	2	7	1	7	05/02/95	Fischer	380	4	
	S	5	3	3	9	4	0	3	08/16/94	Parker	395	425	
	T	5	2	6	1	0	0	2	11/09/93	Perlman et al.	380	30	
	U	5	3	1	5	6	5	7	05/24/94	Abadi et al.	380	25	
	V	5	2	1	4	7	0	2	05/25/93	Fischer	380	30	
	W	4	8	6	8	8	7	7	09/19/89	Fischer	380	25	
	X	4	8	1	6	6	5	5	03/28/89	Musyck et al.	235	380	
	Y	5	6	1	5	2	6	8	03/25/97	Bisbee et al.	380	25	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
							YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	Z	Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp. 1-19.
	AA	Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23
	BB	Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.

EXAMINER	DATE CONSIDERED
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 C.F.R. § 1.98(b))	ATTY. DOCKET NO.	SERIAL NO.
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	APPLICANT	JAN 29 2002
FILING DATE	GROUP	

U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	HH	Rivest, et al., "SDSI – A SIMPLE DISTRIBUTED SECURITY INFRASTRUCTURE", April 30, 1996, pp. 1-37.
	II	C. Ellison, "SPKI REQUIREMENTS", The Internet Society, September 1999, pp. 1-14.
	IJ	Ellison, et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use several sheets if necessary)</small>		RECEIVED JAN 29 2002
<small>(37 C.F.R. § 1.98(b))</small>		FILING DATE GROUP Technology Center 2100

U.S. PATENT DOCUMENTS

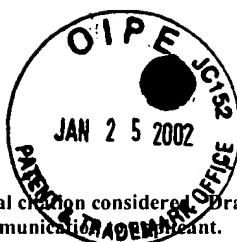
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	KK	Muller, "BLUETOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33.
	LL	Mettala, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20.
	MM	Maywah, "AN IMPLEMENTATION OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.
	NN	Dan Backman, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, May 15, 1998, pp. 170-171.

EXAMINER

DATE CONSIDERED



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<p>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)</p> <p>(37 C.F.R. § 1.98(b))</p>	<p>ATTY. DOCKET NO.</p> <p>APPLICANT</p> <p>FILING DATE</p>	<p>SERIAL NO.</p> <p>JAN 29 2002</p> <p>Technology Center 2100</p>
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U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	OO	Ellison, http://world.std.com/~cme/ , July 21, 2001, pp. 1-3
	PP	IEEE, www.ieee.org , 13 pages
	QQ	Infrared Data Association, www.irda.org , 93 pages
		
		

EXAMINER

DATE CONSIDERED



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PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete If Known

Application Number	09/841,731
Filing Date	04/25/01
First Named Inventor	GUNTER
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	53087-5006

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
DJD	A	MICROSOFT .NET PASSPORT Review Guide, "THE WHY, WHAT AND HOW OF MICROSOFT .NET PASSPORT", Published: March 2002, pp. 1-50.	
DJD/B	B	'Net Passport OVERVIEW: 13/http://www.microsoft.com/my services/passport/overview.asp, pp.1-7 21/http://www.microsoft.com/my services/passport/overview.asp, pp.1	

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Signature

Date
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8/11/06

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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PATENT AND TRADEMARK OFFICE
(MODIFIED)

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)
C.F.R. § 1.98(b))

ATTY. DOCKET NO.
053087-5006

SERIAL NO.
09/841,731

APPLICANT
Gunter et al.

FILING DATE
April 25, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINEE R INITIAL	PATENT NUMBER								ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			RECEIVED
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			JAN 29 2003
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			Technology Center 2100
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
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	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
							YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.
EXAMINER		DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



MAY 12 2003

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 053087-5006	SERIAL NO. 09/841,731
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 C.F.R. § 1.98(b))		APPLICANT Gunter et al.	
		FILING DATE April 25, 2001	GROUP

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Technology Center 2100

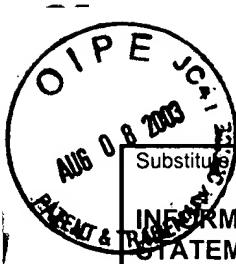
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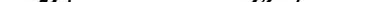
DATE CONSIDERE

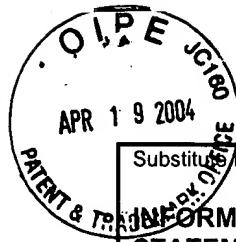
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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/841,731
				Filing Date	April 25, 2001
				First Named Inventor	Gunter et al.
				Group Art Unit	.
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	053087-5006

FOREIGN PATENT DOCUMENTS					
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Country Code	Number	Kind Code (if known)		

Examiner Signature		Date Considered	8/11/04
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APR 19 2004

Substitutes for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
Application Number		09/841,731		RECEIVED	
Filing Date		April 25, 2001			
First Named Inventor		Gunter et al.		APR 22 2001	
Group Art Unit					
Examiner Name				Technology Center 2100	
Sheet	1	of	1	Attorney Docket Number	053087-5006

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APR 22 2001

2100

U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
RJD	6,411,605	B1	Vance et al.	06/25/02
	5,872,841		King et al.	02/16/99
	6,577,949	B1	Robinson et al.	06/10/03
	6,567,075	B1	Baker et al.	05/20/03
	6,031,904		An et al.	02/29/00
	5,689,642		Harkins et al.	11/18/97
	6,560,581		Fox	05/2003
	6,523,012		Glassman	02/2003
	6,061,448		Smith	05/2000
	6,651,166		Smith	11/2003
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	6,343,361		Nendell	01/2002
	6,256,733		Thakkar	07/2001
	6,285,991		Powar	09/2001
PLH	2002/0162019	A1	Berry	10/2002

FOREIGN PATENT DOCUMENTS

Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₁
	Country Code	Number	Kind Code (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature	Ronald Baum	Date Considered	8/11/04
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